

Organised by



## SPEAKERS

**DR JOHANNA EISELE**  
*Evonik Röhm GmbH, Germany*

**KEVIN MCGLUE**  
*Colorcon, UK*

**KARL METZGER**  
*gmPlan GmbH, Germany*

**DR IAIN MOORE**  
*Croda, United Kingdom*

**DR BERND RENGER**  
*European QP Association*

**ALLAN WHISTON**  
*QA Resolutions Ltd  
United Kingdom*

# GMP and GDP for Pharmaceutical Excipients

Supplier qualification, formalised risk-based approaches, certification schemes and auditable standards

15 – 16 October 2013, Prague, Czech Republic

## HIGHLIGHTS:

- GMP and GDP for Pharmaceutical Excipients – an update on regulatory aspects
- Suitable GMP and formalised risk assessment of Excipients and Excipient Manufacturers
- Risk based excipients supplier qualification – view of a QP
- GMP compliant manufacturing of a pharmaceutical excipient – a case study
- Excipients certification - schemes and auditable standards

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# GMP and GDP for Pharmaceutical Excipients

15 - 16 October 2013, Prague, Czech Republic

## Objectives

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This course is designed to explain the particularities of pharmaceutical excipients manufacturing and distribution and gives an overview on GMP and GDP requirements appropriate for excipients. **Specialists from the pharmaceutical industry and excipients manufacturers** will share their **expert knowledge** on all important aspects relevant for producers and users of pharmaceutical excipients.

You will learn

- how suitable GMP and GDP standards for excipients may look like
- how to use risk considerations as a key point of supplier qualification
- how excipients can be classified and
- which auditable standards and certification schemes can be used

In parallel workshops you will elaborate and discuss case studies and practical examples.

## Background

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With the implementation of the EU Directive 2001/83/EC into national law, all active pharmaceutical ingredients used in pharmaceutical manufacturing must be produced in compliance with current Good Manufacturing Practice (cGMP). However due to the considerable complexity of the supply chains GMP and GDP requirements for excipients should be appropriate and not simply mirror those developed for active pharmaceutical ingredients. The fifth paragraph of Article 47 of Directive 2001/83/EC provides that "The Commission shall adopt guidelines on the formalised risk assessment for ascertaining the appropriate good manufacturing practice for excipients..." These guidelines are referred to in the second paragraph of point (f) of Article 46 of the Directive.

Quite recently the Commission has published such Guidelines. The manufacturing authorisation holders have now to determine the risk profile of the excipients used in their drug products and of the excipients manufacturer where they purchase the excipients. Based on this a control strategy has to be established in order to manage and mitigate the risks of use of the excipients.

## Target Audience

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This course addresses to employees and senior staff of pharmaceutical companies and manufacturers of excipients and raw materials as well as traders. The course is of particular interest to all those working in quality assurance, quality control laboratories, production and purchasing departments.

## Programme

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### GMP and GDP for Pharmaceutical Excipients – Regulatory Aspects and Legal Initiatives

- Why do we need GMP for pharmaceutical excipients?
- GMP and GDP Guidelines for pharmaceutical excipients
- Risks related to distribution of pharmaceutical excipients and the role of traders and brokers
- Focus of GMP inspections at excipients manufacturers
- Future regulations for pharmaceutical excipients in the EU

### Risk Management for Pharmaceutical Excipients Manufacturers

- ICH Q9 – Quality Risk Management
- How to establish a risk management process
- Key parameters
- Project Management – from scratch to GMP compliance
- Good Storage / Transportation Practice

### Suitable GMP for Pharmaceutical Excipient Manufacture

- Difficulties in regulating excipients
- What is an appropriate GMP for excipients?
- Comparison with GMP for APIs (ICH Q7)
- GMPs for continuous processing
- Key points for distribution controls
- Suitable Guidance – IPEC PQG and GDP Guide

### Risk-based Excipients Supplier Qualification – a QP Perspective

- The Qualified Person's role in Supplier Qualification
- Risk-based excipients classification & qualification requirements
  - Type and chemical class
  - Possible impurities & microbiological considerations
  - Intended use of the excipients
  - Type and dosage form of the drug product
- Audits and the role of the QP

### Excipients Certification - Schemes and Auditable Standards

- Why excipients certification?
- Excipient classification
- Key principles of the Excipients Certification Project
- 3rd Party Auditing
- Excipient GMP & GDP Certification Scheme

### Meeting the Requirements for GMP-compliant Manufacturing of a Pharmaceutical Excipient – A Case Study

- Key points and minimum requirements of the QA system
- Customers' GMP expectations
- Where to start GMP within the manufacturing process
- Risk identification and assessment
- Auditing and auditable standards
- Examples

## Parallel Workshops

Please choose one out of two parallel workshops

- **Workshop 1**  
**Using the Risk Assessment Guideline to ascertain the appropriate excipient GMP**
- **Workshop 2**  
**Risk management for pharmaceutical excipients manufacturers - practical examples**

In these two workshops the participants will have the opportunity to work on practical examples. The elaborated solutions will be presented and discussed

## Suitable Quality Agreements in Pharmaceutical Excipients Supply

- Why quality agreements?
- Objectives and contents of Quality Agreements
- Negotiations of Quality Agreements – who should be involved?
- Quality agreements with distributors and manufacturers
- Quality agreements and commercial agreements

## Auditing for GMP Compliance

- General auditing considerations
- Basic requirements for excipient GMP inspections
- Quality-critical processing steps
- Audit check points
- Auditor competency
- Suitable Guidance for auditing excipient manufacturers – the Joint IPEC-PQG GMP Audit Guideline

## Speakers



**Dr Johanna Eisele**, *Evonik Röhm GmbH, Germany*  
Dr. Johanna Eisele is Head of Regulatory Affairs, Pharma Polymers, an Evonik business line that manufactures acrylic copolymers for use in oral and dermal dosage forms. Amongst other duties her responsibility includes negotiation of quality

agreements with pharmaceutical customers and introducing such agreements into the supply chain with the distributors of Pharma polymer products. Dr Johanna Eisele represents Evonik Röhm Pharma Polymers at the IPEC Europe.



**Kevin McGlue**, *Colorcon Ltd., United Kingdom*  
Mr Kevin McGlue is Director, Global Quality Assurance of Colorcon Ltd. with overall responsibility for all QA activities worldwide. He is a former member of the Board of IPEC Europe, was a member of the IPEC team that produced

the 2001 revision of their Excipient GMP guide and was a member of the team responsible for the production of the latest joint IPEC / PQG Excipient GMP guide. He is also a past chair of the IPEC Europe GMP committee.



**Karl Metzger**, *gmPlan GmbH, Germany*

Karl Metzger is Managing Partner of gmPlan GmbH. He is APIC certified ICH Q7 Auditor and has more than 15 years experience in global auditing of chemical, biotechnological and pharmaceutical manufacturers. Previous to his current position he held appointments with BASF Pharma, Concept Heidelberg, Euroengineering and finally with Welding as Management responsible for the company's integrated Management System and deputy QP for APIs. Furthermore Karl was vice chairman of FECC's 'Good Trade and Distribution Committee'.



**Dr Iain Moore**, *Croda Europe Ltd., UK*

Dr Iain Moore is Product and Quality Assurance Manager at Croda Europe Ltd, a manufacturer of speciality and performance chemicals. He is one of the co-authors of the IQA PQG PS 9100:2002 guide for pharmaceutical excipients, the IPEC-PQG GMP Guide for Pharmaceutical Excipients and the EffCI GMP Guide 2005 for Cosmetic Ingredients. Currently he is Excipients Certification Project Coordinator.



**Dr Bernd Renger**, *European QP Association, Germany*

Dr Bernd Renger is a member of the ECA Advisory Board and Past Immediate Chairman of the European QP Association. Since 2011, he is running his own consultancy business. Before that he was Director of Quality Control at Vetter Pharma-Fertigung. He started his career at Hoechst AG as a research and development chemist. Since then, he has held several quality positions at Mundipharma, Altana Pharma and Baxter.



**Allan Whiston**, *QA Resolutions Ltd, United Kingdom*

Mr Allan Whiston is a professionally qualified Quality Practitioner (CQP) and Chartered Engineer (CEng) with 40 years' experience in the Pharmaceutical Industry. As a past Chair and current member of the IPEC Europe GDP Committee, he has developed and co-authored IPEC's GDP Guide and GDP Audit Guideline. He is member of the PQG and has played a key role in the development of the new EXCIPACT Certification Standard.

## Social Event

On 15 October, you are cordially invited to a social event. This is an excellent opportunity to share your experiences with colleagues from other companies in a relaxed atmosphere.

## Easy Registration



Reservation Form:  
**CONCEPT HEIDELBERG**  
P.O. Box 10 17 64  
69007 Heidelberg  
Germany



Reservation Form:  
+ 49 6221 84 44 34



e-mail:  
info@concept-heidelberg.de



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www.gmp-compliance.org

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GMP and GDP for Pharmaceutical Excipients, 15 - 16 October 2013, Prague, Czech Republic  
Please choose **one** parallel workshop

- Workshop 1 Using the Risk Assessment Guideline to ascertain the appropriate excipient GMP  
 Workshop 2 Risk management for pharmaceutical excipients manufacturers - practical examples

Mr  Ms

Title, first name, surname

Company

Department

**Important: Please indicate your company's VAT ID Number** P.O. Number (if applicable)

Street/P.O. Box

City

Zip Code

Country

Phone/Fax

E-Mail (please fill in)

If the bill-to-address deviates from the specifications on the right, please fill out here:

CONCEPT HEIDELBERG  
P.O. Box 101764  
Fax +49 (0) 62 21/84 44 34

D-69007 Heidelberg  
GERMANY

### General terms and conditions

If you cannot attend the conference you have two options:

1. We are happy to welcome a substitute colleague at any time.
2. If you have to cancel entirely we must charge the following processing fees: Cancellation
  - until 2 weeks prior to the conference 10 %
  - until 1 weeks prior to the conference 50 %
  - within 1 week prior to the conference 100 %.

CONCEPT HEIDELBERG reserves the right to change the materials, instructors, or speakers without notice or to cancel an event. If the event must be cancelled, registrants will be notified as soon as possible and will receive a full refund of fees paid. CONCEPT HEIDELBERG will not be responsible for discount airfare penalties or other costs incurred due to a cancellation.

**Terms of payment:** Payable without deductions within 10 days after receipt of invoice.

**Important:** This is a binding registration and above fees are due in case of cancellation or non-appearance. If you cannot take part, you have to inform us in writing. The cancellation

fee will then be calculated according to the point of time at which we receive your message. In case you do not appear at the event without having informed us, you will have to pay the full registration fee, even if you have not made the payment yet. Only after we have received your payment, you are entitled to participate in the conference (receipt of payment will not be confirmed!). (As of January 2012)

### Date

Tuesday, 15 October 2013, 9.00 - 16.45 h  
(Registration and coffee 8.30 - 9.00 h)  
Wednesday, 16 October 2013, 8.15 - 16.30 h

### Venue

Corinthia Hotel Prague  
Kongresova 1  
14069 Praha 4  
Czech Republic  
Phone +420 (261) 191 111  
Fax +420 (261) 225 011

### Fees

ECA Members € 1,590.- per delegate plus VAT  
IPEC Members € 1,690.- per delegate plus VAT  
QP Association Members 1,690.- per delegate plus VAT  
APIC Members € 1,690.- per delegate plus VAT  
Non-ECA Members € 1,790.- per delegate plus VAT  
EU GMP Inspectorates € 895.- per delegate plus VAT  
The conference fee is payable in advance after receipt of invoice and includes conference documentation, dinner on the first day, lunch on both days and all refreshments. VAT is reclaimable.

### Accommodation

CONCEPT HEIDELBERG has reserved a limited number of rooms in the conference hotel. You will receive a room reservation form when you have registered for the event. Please use this form for your room reservation to receive the specially negotiated rate for the duration of your stay. Reservation should be made directly with the hotel. Early reservation is recommended.

### Registration

Via the attached reservation form, by e-mail or by fax message.  
Or you register online at [www.gmp-compliance.org](http://www.gmp-compliance.org).

### Conference Language

The official conference language will be English.

### Organisation and Contact

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69007 Heidelberg, Germany  
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### For questions regarding content:

Dr Gerhard Becker (Operations Director)  
at ++49-62 21 / 84 44 65 or at  
becker@concept-heidelberg.de.

### For questions regarding reservation, hotel, organisation etc.:

Ms Nicole Bach (Organisation Manager)  
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